Evaluation of Vegetation Indices and

a Modified Simple Ratio

for Boreal Applications

Jing M. Chen Canada Centre for Remote Sensing #419-588 Booth Street Ottawa, Ontario K1A 0Y7 Tel: (613) 947-1266 Fax: (613) 947-1406 email: chen@ccrs.emr.ca

August 28, 1995

to be submitted to Canadian Journal of Remote Sensing

Abstract

A modified simple ratio (MSR) is proposed for retrieving biophysical parameters of boreal forests using remote sensing data. This vegetation index is formulated based on the evaluation of several two-band vegetation indices including the normalized difference vegetation index (NDVI), simple ratio (SR), soil adjusted vegetation indices (SAVI, SAVI1, SAVI2), weighted difference vegetation index (WDVI), global environment monitoring index (GEMI), non-linear index (NLI) and renormalized difference vegetation index (RDVI). MSR is an improved version of RDVI for the purpose of linearizing their relationships with biophysical parameters. All indices were obtained from Landsat 5 TM band 3 (visible) and band 4 (near infrared) images after atmospheric corrections (except for GEMI) and were correlated with ground-based measurements made in 20 jack pine (Pinus banksiana) and black spruce (Picea Mariana) stands during the BOREAS field experiment in 1994. The measurements include leaf area index (LAI) and the fraction of photosynthetically active radiation (FPAR) absorbed by the forest canopies. Among these vegetation indices, SR, MSR and NDVI were found to be best correlated with LAI and FPAR in both spring and summer. All other indices performed poorly. Both NDVI and MSR can be expressed as a function of SR. Measurement errors in remote sensing data often occur due to changes in solar zenith angle, subpixel contamination of clouds or dissimilar surface features, and the variation in the local topography and other environmental factors. These errors generally cause simultaneous increases or decreases in the red and near infrared reflectance, and can be greatly reduced by taking the ratio. All other indices involving mathematical operations other than ratioing would retain the errors or even amplify them. The major problem in using the vegetation indices obtained from red and near infrared bands is the small sensitivity to the overstory vegetation conditions. Although many of the vegetation indices such as SAVI, SAVI1 and SAVI2 are developed to minimize the effect of the background on retrieving the vegetation information, they also reduce their sensitivity to the changes in the overstory conditions.

Key words: vegetation index, LAI, FPAR, boreal forests.

1. Introduction

Driven by the need in ecological, climate and many other studies to quantitatively assess vegetation conditions from remote sensing measurements, numerous vegetation indices have been developed using the measurements in red (or visible) and near infrared (NIR) bands. All two-band indices are based on the simple physics: plants reflect less red light but more NIR radiation compared with non-vegetated surfaces. However, different indices have different advantages in retrieving vegetation information. Table 1 shows the major two-band indices (their definition and sources) included in this investigation, where r_n is NIR reflectance and r_r is red reflectance. The simple ratio (SR) is the simplest way to combine red and NIR data for retrieving surface biophysical parameters, but in some rare cases its value increases with no bounds when r_r is small and approaches zero. The normalized difference vegetation index (NDVI) avoids this problem by normalizing the difference between \boldsymbol{r}_n and \boldsymbol{r}_r with the sum of them. However, NDVI and SR are fundamentally the same: one can be readily calculated from the other without additional information, i.e. NDVI=(SR-1)/(SR+1) or SR=(NDVI+1)/(NDVI-1). While NDVI has the advantage of the fixed range from 0 to 1, SR is sometimes preferred for its better sensitivity and more linearity with biophysical parameters (Chen and Cihlar, 1995c and Chen, 1995b). Both indices are most widely used (Sellers et al., 1995, Hall et al., 1995, Running et al., 1994), but the following underlying assumption in their formulation is often not met: for a given vegetated surface, \boldsymbol{r}_n and \boldsymbol{r}_r increase or decrease simultaneously in the same proportion. Under this assumption, the lines with fixed SR or NDVI values plotted on the \boldsymbol{r}_n and \boldsymbol{r}_r coordinates converge to the origin. Experimental evidence has shown that the converging point often does not

occur at the origin but at some negative point on both \boldsymbol{r}_n and \boldsymbol{r}_r coordinates (Huete 1988) because of the soil background effect. The soil adjusted vegetation index (SAVI) was developed to remove this effect by introducing a parameter L into the calculation of NDVI. This parameter is determined by the position of the convergence point. In SAVI, it is taken as a constant of 0.5, while in SAVI1, an improvement is made by allowing L to vary with the condition of the surface quantified using other indices because the convergence point is in fact not fixed (Qi et al. 1994). The global environment monitoring index (GEMI) was derived to reduce the atmospheric effect at the global scale. The functional form of GEMI is not linear with r_n and r_r because the atmospheric effects on \boldsymbol{r}_n and \boldsymbol{r}_r are considerably different. The relationships between many vegetation indices and surface biophysical parameters are often non-linear, causing inconvenience in algorithm development. Therefore, some indices, such as the non-linear index (NLI) and the renormalized difference vegetation index (RDVI), have been developed to linearize their relationships with surface parameters. The above mentioned indices are all based on the slope of constant-index lines in the r_n vs. r_r plot. There are also indices based on the distance between the lines assuming the lines are parallel to each other. Examples of indices of this kind are the weighted difference vegetation index (WDVI) and the perpendicular vegetation index (PVI) (Richarson and Weigand, 1977).

The performance of many of these indices has been simulated using radiative transfer models or tested using data from hand-held radiometers for agricultural crops. A key factor which has not been seriously considered in formulating these indices is noises in remote sensing measurements. Remote sensing data, especially those from satellites, contain unwanted noises due to many factors including solar and view geometry, uneven atmospheric conditions, dissimilar subpixel surface features, topography variation and other environmental inhomogeneities. The noise from one source often causes simultaneous increases or decreases in red and NIR reflectance. Fig. 1 shows one example, where a subpixel cloud causes red and NIR reflectance to increase in one pixel and to decrease in another. Any localized distributions of fog, dust, smoke and aerosols can have the similar effect. In many cases, the increases or decreases in \mathbf{r}_n and \mathbf{r}_r have approximately the same proportion relative to their unaffected values. Noises of this kind therefore can be eliminated or greatly reduced when data of these two bands are appropriately combined but can be amplified when some unfavorable mathematical operations are performed on \mathbf{r}_n and \mathbf{r}_r . The objectives of this paper are: (i) to evaluate the above mentioned vegetation indices against experimental data sets for their performance in terms of the ability to minimize the error induced by measurement noises, and (ii) to propose a non-linear index which has both advantages of low noise effect and good linearity with biophysical parameters. This paper only examines the effect of measurement noises on the various indices rather than their fundamental assumptions.

2. Theoretical Considerations

The criteria used in this paper for evaluating vegetation indices are twofold: (i) low noise effect judged according to the level of significance in their correlation with ground-truth data; (ii) the linearity of their relationship with biophysical parameters. The first criterion may be subject to the accuracy of ground-truth data, but in the comparison of the significance of correlation, the accuracy may only have the secondary importance. The second criterion is also important because linear relationships help simplify remote sensing algorithms and improve the accuracy in retrieving surface parameters (Roujean and Breon, 1995, Goel and Qin, 1995).

Since noises from many sources often cause increases or decreases in red and NIR reflectance in roughly the same proportion, they can be greatly reduced when the simple ratio between these two reflectance is taken. Fig. 2(a) shows the difference in the effects of noises on SR and SAVI, where, by definition, constant SR lines converge at the origin, while constant SAVI lines converge at a point at $(-l_1, -l_2)$. The double-ended arrows indicate the direction and magnitude of the variation caused by noises. Since the variations are parallel with the SR lines, they make no difference in the calculated SR values, but they induce biases in SAVI because the arrows move across the SAVI lines. It is noted that the direction of the arrows is by no means always parallel with the SR lines. Subpixel water bodies and shadows of clouds, for example, would reduce the NIR reflectance in a larger proportion than the red reflectance, resulting in a smaller SR as expected. In such cases, the arrows would be more vertical than those shown in Fig. 2(a) and become at larger angles to the SAVI lines, though also at some angles to the SR lines. Similarly, patches of deciduous trees mixed with a predominantly conifer forest having relatively low SR values would increase the NIR reflectance in a larger proportion than the red.reflectance, making the arrows more vertical. Inaccurate atmospheric corrections can cause the direction of the arrows to change in both ways from the SR lines. On the other hand, subpixel clouds and other reflective atmospheric constituents can make the arrows more horizontal (more parallel with the SAVI). The arrows shown in Figs. 2(a)-2(c) only represent the general case.

6

Fig. 2(b) shows the different noise effects on SR and NLI. By definition, constant NLI lines are linear in the coordinate system with \boldsymbol{r}_n^2 and \boldsymbol{r}_r , while the SR lines are curved. Again the noise arrows along the SR curves intercept the NLI lines, indicating data noises can cause large errors in NLI. Similar problems exist in using GEMI and SAVI2.

Based on the SAIL model, Roujean and Breon (1995) investigated the weaknesses of NDVI when used for deriving FPAR. They showed large scatters of simulated data points in plots of NDVI against FPAR for plant canopies with different foliage angle distributions and optical scattering coefficients. The scatters are largely reduced when NDVI is replaced with RDVI. As a non-linear index, RDVI is not only less sensitive to the variations in the unknown foliage geometrical and optical properties but also less affected by the solar and view geometry. If remote sensing data contain no environmental noises as those calculated using the model, RDVI would be an improvement over NDVI. However, the drawback is that RDVI is more prone to measurement errors than NDVI because much error is reduced in NDVI as a function of SR.

A new index, the modified simple ratio (MSR), proposed in this paper is developed based on RDVI. By the definitions of MSR and RDVI shown in Table 1, it can be derived that

$$MSR = RDVI / \sqrt{r_r} \tag{1}$$

Since MSR is a function of SR, the constant MSR lines appear to be linear in Fig. 2(c), but RDVI lines are curved because as \mathbf{r}_r increases RDVI becomes smaller relative to MSR which remains the same for the same SR. The noise arrows are parallel to MSR lines but intercept RDVI lines, changing the values of RDVI depending on the strength of the noises. MSR is therefore an

improvement over RDVI in terms of its sensitivity to useful information. MSR is also a non-linear index because it uses a non-linear combination of \boldsymbol{r}_n and \boldsymbol{r}_r . Since

$$MSR = (SR - 1) / \sqrt{SR + 1} \tag{2}$$

and

$$SR = (1 + NDVI) / (1 - NDVI), \qquad (3)$$

it can be shown that

$$SMR = \sqrt{2}NDVI / \sqrt{1 - NDVI} \tag{4}$$

From eqs (3)-(4), it can be seen that both SR and MSR are non-linear functions of NDVI, approaching infinity when NDVI=1. Both are similar, but SR increases faster than MSR with NDVI. Since the relationships between NDVI and biophysical parameters are not linear, i.e. NDVI increases slower than biophysical parameters, it is expected than SR and MSR are more linearly related to the parameters.

3. Ground-based measurements

3.1 Site description

Ground-based measurements of LAI and FPAR were made in 20 different conifer stands which consisted of either jack pine (*pinus banksiana*) or black spruce (*picea mariana*). These sites were selected for the Boreal Ecosystem-Atmosphere Study (BOREAS) and were located in the Northern Study Area (NSA) near Thompson, Manitoba, and the Southern Study Area (SSA) near Candle Lake, Saskatchewan. This paper includes results from 6 intensive sites (BOREAS tower sites) and 14 auxiliary sites. Each intensive site can be characterized as being homogenous at a scale of 1 km while each auxiliary site is homogeneous at a smaller scale. Understory was very different and distinct for both species of stands. The undergrowth in jack pine stands consisted mainly of lichen (*Cladina spp*), blueberry (*Vaccinium myrtilloides*) and cranberry (*Vaccinium vitis-idaea*). In addition to ground vegetation, jack pine stands, especially younger stands, contained significant amounts of dead wood and some exposed sandy soil. In contrast, soil in black spruce stands was wetter and was almost completely covered by sphagnum moss (*Sphagnum sp*), feather moss (*Pleurozium schreben*), Labrador tea (*Ledum groenlandicum*) and bog cranberry (*Vaccinium vitis-idaea*).

3.2 LAI and FPAR measurements

Ground-based optical measurements of LAI and FPAR were made during three BOREAS field campaigns. This paper includes results from IFC-1 and IFC-2 which correspond to late spring and mid-summer growing seasons. Measurements were made along transects located at each intensive and auxiliary site. The transect lengths ranged from 150-300 m for intensive sites to 50 m for auxiliary sites. Leaf area index was measured using the PCA (LI-COR LAI 2000). Three units of PCA were used with one recording above-stand reference readings either at the top of the flux tower or in a large nearby opening and the other two taking in-stand measurements along the transect at a 10-m interval (Chen 1995a). These PAC measurements are considered as the effective LAI which includes the effects of non-random leaf spatial distribution and the woody material. The TRAC (Tracing Radiation and Architecture of Canopies) instrument was used for measuring canopy architectural parameters as a correction to remove the effect of foliage clumping at scales larger than the shoots (the basic collection of needles) on the PCA measurements (Chen and Cihlar, 1995a and 1995b). About 27 to 45 shoot samples were taken in each IFC from each of the intensive sites for laboratory analysis to estimate the effect of foliage clumping within the shoot. The ratio of woody area to plant (green leaf and woody) area was also obtained for three intensive sites by cutting entire trees and measuring the total woody and green leaf area. Allometric relationships relating the tree-trunk diameter at breast height to plant and woody areas were established to obtain the average ratio for a stand. This optically-based method supplemented by allometric measurements presents an important improvements over previous methods for measuring LAI over large areas in conifer canopies with distinct architecture.

The TRAC instrument also provided measurements of the transmitted and reflected PAR near the forest floor at a 10-mm interval along the transects. These measurements are critical for estimating FPAR of the stands. Measured instantaneous FPAR values from the intensive sites by the same definition as that given in Goward and Huemmerich (1992) were used to validate a model of daily green FPAR based on the effective LAI obtained from the PCA. The model was then used to calculate daily green FPAR of the auxiliary sites (Chen 1995b). All FPAR values used in this paper are daily green FPAR.

4. Landsat Image Processing

The indices included in this paper were calculated using reflectance data from bands 3 and 4 from Landsat-5 TM images. Using PCI 5.2 image processing software, a total of 4 images were processed; one summer scene and one late-spring scene for both the SSA and NSA. These images

were considered to have the best atmospheric conditions (cloud free etc.) among all images available for this study. Images for the SSA were from 6 June 1991 and 11 August 1986 while those for the NSA were taken on 9 June 1994 and 19 August 1985. The images were first geometrically corrected by registering over 20 ground control points to their corresponding position on each image. After pixel registration and resampling, each image was atmospherically corrected using the `5S' model (Tanre et al., 1986, Teillet and Santer, 1991). Finally, indices for all intensive sites were calculated using a mean reflectance value from each band taken as the average of 8 pixels corresponding to a distance of approximately 300m along the main transect. Values for the auxiliary sites were calculated as an average of 9 pixels (3 x 3 square) corresponding to the area where ground measurements were made. Background and understory reflectance (values) were also measured for several stands (White et al., 1995) and were used in the calculation of WDVI and SAVII.

5. Results and Discussion

The characteristics of the stands and their respective indices in IFC-1 and IFC-2 are summarized in Tables 2 and 3, respectively, along with the regression results. Two curve fitting techniques were used for each vegetation index (VI): (i) VI = A + B*LAI or VI = A + B*FPAR, and (ii) VI = $A*LAI^{B}$ or $VI = A*FPAR^{B}$. SR and its associates (NDVI and MSR) are most significantly correlated to LAI and FPAR in both IFC-1 and IFC-2. All other indices performed poorly (close to zero R²). All indices except for GEMI (TOA) were calculated based on reflectance at the surface level after atmospheric corrections. GEMI (TOA) was calculated using reflectance at the top of the atmospheric because the main purpose of GEMI is to minimize the atmospheric effect. Although GEMI was developed for improving global vegetation monitoring, it is chosen in this study of limited geographical scope because it is a distinct non-linear index.

Figs. 3(a) and 3(b) demonstrate the reason for the different performance of the indices. As expected, the reflectance in the red band decreases with increasing LAI. However, the trend of the reflectance in the near infrared band is not discernible because of the noise. The major signal on the vegetation conditions is then carried in the red reflectance, but this does not mean NIR reflectance has no information content. Examining the points in Figs. 3(a) and 3(b) together, one can find that red and NIR reflectance are correlated. Some of the corresponding points in these two figures are numbered. At LAI=1.1, point 1 is considerably larger than point 2 in both plots. Generally speaking, at similar LAI values, if red reflectance is above the average values (such as points 1, 3 and 5), NIR reflectance appears correspondingly in the same way, and visa versa (points 2 and 4). This simultaneous behavior may have been caused by non-uniformity of the atmospheric conditions which is not removed using the cross-scene bulk atmospheric correction method. It may have also resulted from shadows of neighboring pixels or dissimilar surface features within the pixels such as rocks or small water bodies. These unwanted noises can be effectively removed by taking the ratio of the reflectance in this two bands because the noises generally introduce biases in these two bands in roughly the same proportion. This error reduction mechanism using the ratioing technique is critical for boreal forests because the signal is week with respect to the noise in the individual bands. The noises are mostly retained in indices based on the absolute difference, such as WDVI and PVI, because the noises do not introduce the same absolute bias in red and NIR reflectance. The noises can even be amplified when mathematical

operations such as taking the square or square root of the reflectance are used in the calculation of indices (SAVI2, GEMI and NLI). These noise-amplification operations almost caused a complete loss of the weak signal on the vegetation conditions contained in these two bands, as evident in the R^2 values in Tables 2 and 3. SAVI and SAVI1 are also not in accord with the ratioing principle, although to a lesser degree, by introducing the parameter L in the calculation. This parameter not only prevents the exact ratio of the reflectance, but also reduces the sensitivity of SAVI and SAVI1 to the variations in LAI and FPAR.

Figs. 4 and 5 display the relationships between the various indices and LAI as well as FPAR for IFC-1 (late spring). The results for IFC-2 (mid-summer) are similar (not plotted). The sensitivity of NDVI to LAI or FPAR is small because of the understory contribution to the reflectance and the tree crown shadow effect (Chen and Cihlar 1995c, and Chen 1995b). The sensitivity of SR is larger than that of NDVI, but the scatter of data points on the vertical axis is also larger. SR is also more linearly related to LAI and FPAR. Statistically, SR and NDVI make no difference in terms of the significance of correlation because one can be calculated from the other without additional information. However, in formulating remote sensing algorithms, SR may be preferred over NDVI because of its better sensitivity with LAI and FPAR. Although SAVI is similar to NDVI in its form, the small sensitivity of NDVI to LAI and FPAR is lost in SAVI because it retains some of the noises in the data and reduces the sensitivity by the use of the parameter L in the denominator. The same problem exists for SAVI1. The scatter of data points in plots for WDVI is the largest because many measurement noises are retained when absolute rather than relative difference is taken. RDVI does

not show any advantage over NDVI in terms of its sensitivity. The loss of the sensitivity in RDVI may be the result of the noise amplification involved in taking the square root of the sum of the two reflectances. GEMI, with the most sophisticated mathematical operations, also suffers from a similar noise problem. NLI performs slightly better than GEMI (see R^2 in Tables 2 and 3), but much worse than NDVI and SR.

If no noises exist in the data sets, RDVI is expected to perform better than NDVI and SR because it is less sensitive to the unknown optical and geometrical properties of the vegetated surface. MSR is an improved version of RDVI. It not only retains the non-linear features of RDVI but is also less sensitive to measurement noises. From the relationship: $MSR = RDVI/\sqrt{r_r}$, one can see that noise reduction in MSR is achieved by the division of RDVI with $\sqrt{r_r}$. This division makes MSR a function of SR. Figs. 6 and 7 shows the relationships of MSR to LAI and FPAR in IFC-1 and IFC-2. The level of significance of the non-linear and linear correlation (R^2) for MSR is similar to those for SR and NDVI. Because there are no obvious saturation points in the relationships between NDVI and LAI or FPAR, i.e. the relationships are already approximately linear, full assessment of the advantages of MSR over NDVI is not possible with our data sets. However, the statistics certainly indicate that MSR is superior to RDVI. Based on Roujean and Breon (1995), RDVI has the advantage of being less sensitive to geometrical and optical properties of plant canopies. MSR is expected to have the similar advantage over other vegetation indices. This advantage is not shown from our data sets. This may be due to the fact that jack pine and black spruce stands included in this study had the similar optical properties as evident from the small difference between them in the Figs. 6 and 7. There was a difference in the foliage angle

distribution pattern between these two species: jack pine stands being more erectophile than black spruce stands, but the difference was significant only when the view zenith angle is larger than 60° (Chen 1995b). The ranges of variation in the optical and geometrical properties in the stands investigated may be too small to allow the full realization of the advantages of MSR. The usefulness of MSR therefore remains to be tested in other environments.

The linear and non-linear regression results for NDVI, SR and MSR are summarized in Tables 4 and 5, respectively. These indices are better correlated to the overstory LAI and FPAR in late spring than in mid-summer because the strength of the understory signal increased from spring to summer, reducing the sensitivity of the indices to the overstory conditions.

The ratioing principle for noise reduction may be applicable to three-band vegetation indices involving an additional blue band, such as the atmospherically resistant vegetation index (ARVI) (Kaufman and Tanre, 1992), the soil and atmospherically resistant vegetation index (SARVI) and the modified SARVI (Huete and Liu, 1994). Further study is needed to apply the ratioing principle to these three-band indices.

6. Conclusions

Several two-band vegetation indices including NDVI, SR, RDVI, SAVI, SAVI, SAVI2, GEMI, NLI, WDVI and a new modified simple ratio (MSR) calculated using data from Landsat-5 TM images are evaluated against field data sets of LAI and FPAR in boreal forests. The following conclusions are drawn from this comparative study:

1. SR and its associate indices (NDVI and MSR) are better correlated to the field data than do the rest of the indices that can not be expressed as a function of SR. Many unwanted noises cause simultaneous increases or decreases in red and NIR reflectance in approximately the same proportion, and therefore they can be greatly reduced by taking the simple ratio between the two reflectances. Indices such as NLI, GEMI and SAVI2 employing mathematical operations other than ratioing would amplify the noise. Indices such as WDVI and PVI based on the absolute difference between the reflectance would retain the noises. The major draw back of SAVI and SAVI1 is the reduction of their sensitivity to surface parameters of interest because of the use of the parameter L in the denominator. This L dampens the background effect at the expense of the sensitivity.

2. As a non-linear index, MSR is an improvement over RDVI developed for the purpose of linearizing its relationship with surface parameters. Because MSR can be calculated from SR or NDVI, statistically it shows no advantages over NDVI and SR in terms of the significance of its correlation with ground data. MSR potentially has the advantage of being less sensitive to canopy optical and geometrical properties, but this advantage has not been fully shown in this study because of the similarity of the stands investigated.

3. Many vegetation indices were developed for idealistic conditions and tested using data sets generated by radiative transfer models without considering measurement errors. All measurements inevitably involve errors, and in most cases indices less subjective to measurements errors should be preferred over those more vulnerable to errors since noises in measurements are generally

difficult to assess. However, the evaluation of the indices in this study is based on limited data sets. The indices performed poorly here may have advantages in other environments or under conditions where all significant environmental noises can be removed.

Aknowledgments

This study is part of BOREAS. The author is debted to the following scientists at the Canada

Centre for Remote Sensing: Dr. Josef Cihlar for stimulating discussions in all phases of this study,

Martin Guilbeault for assistance in data analysis, and Gunar Fedosejevs for a careful review of the

manuscript.

References

Chen, J.M. (1995a). Optically-based methods for measuring seasonal variation of leaf area index in boreal conifer stands. *Agric. For. Meteorology* (in press).

Chen, J. M. (1995b). Canopy architecture and remote sensing of the fraction of photosynthetically active radiation absorbed the boreal conifer forests. *IEEE Trans. Geosci. Remote Sens.* (submitted).

Chen, J.M., and Cihlar J. (1995a). Plant canopy gap size analysis theory for improving optical measurements of leaf area index of plant canopies. *Applied Optics* (to appear 20 September).

Chen, J.M., and Cihlar J. (1995b). Quantifying the effect of canopy architecture on optical measurements of leaf area index using two gap size analysis methods. *IEEE Trans. Geosci Remote Sens.* 33:777-787.

Chen, J. M. and Cihlar, J. (1995c). Retrieving leaf area index of boreal conifer forests using Landsat TM images. *Remote Sens. Environ*. (Accepted for publication).

Clevers, J. G. P. W. (1989). The applications of a weighted infrared-red vegetation index for estimating leaf area index by correcting for soil

moisture. Remote Sens. Environ. 29:25-37.

Goel, N. S., and Qin, W. (1994). Influences of canopy architecture on relationships between various vegetation indices and LAI and FPAR: a computer Simulation, *Remote Sens. Rev.* 10:309-347.

Goward, S. N. and K. E. Huemmrich (1992). Vegetation canopy PAR absorptance and the Normalized Difference Vegetation Index: an assessment using the SAIL model. *Remote Sens. Environ.* 39:119-140.

Hall, F. G., Townshend, J. R. and Engman, E. T. (1995). Status of remote sensing algorithms for estimation of land surface state parameters. *Remote Sens. Environ.* 51:138-156.

Huete, A.R. (1988). A soil adjusted vegetation index (SAVI), *Remote Sens. Environ*. 25:295-309.

Huete, A. R. and Liu, H. Q., (1994). An error and sensistivity anbalysis of the atmospheric- and soul-correcting variants of the NDVI for the MODIS-EOS. IEEE Trans. Geisci. and Remote Sens. 32:897-905.

Jordan, C.F. (1969). Derivation of leaf area index from quality of light on the forest floor. *Ecology* 50:663-666.

Kaufman, Y. J., and Tanre, D. (1992). Atmospherically resistant vegetation index (ARVI) for EOS-MODIS. IEEE Trans. Geosci. Remote Sens. 30:261-270.

Murphy, J. M., (1991). Standard Landsat 4,5 and 6 TM CCT format specification. DMD-TM 82-249E. Canada Centre for Remote Sensing, Geomatics Canada.

Pinty, B. and Verstrate, M. M. (1992). GEMI: a non-linear index to monitor global vegetation from satellites. Vegetatio 101:15-20.

Qi, J., Chehbouni, A., Huete, A.R., Kerr, Y.H. and Sorooshian, S. (1994). A modified soil adjusted vegetation index, *Remote Sens. Environ.* 48:119-126.

Richardson, A. J., and Wiegang, C. L. (1977). Distinguishing vegetation from soil background information. *Photogramm. Eng. Remote Sens.* 43: 1541-1552.

Rouse, J. W., Hass, R. H. Shell, J. A., and Deering, D. W. (1974). Monitoring vegetation systems in the Great Plains with ERTS-1. Third Earth Resources Technology Satellite Symposium 1: 309-317.

Roujean, J.-L. and Breon, F. M. (1995). Estimating PAR absorbed by vegetation from bidrectional reflectance measurements. *Remote Sens. Environ*.

51:375-384.

Running, S. W., Hunt, E. R., Nemani, R., Glassy, J. (1994). MODIS LAI (leaf area index) and FPAR (Fraction photosynthetically active radiation), 19 pp. MODIS algorithm document, NASA.

Sellers, P. J., Los, S. O., Tucker, C. J., Justice, C. O., Dazlich, D. A., Collatz, G. J., and Randall D. A. (1995). A global 10*10 NDVI data set for climate studies. Part 2: The generation of global fields of terrestrial biophysical parameters from the NDVI. *Int. J. Remote Sensing* (in press).

Tanre, D., Deroo, C., Duhaut, P., Herman, M., Morcrette, J.J., Perbos, J., and Deschamps, P. Y., (1986). Simulation of the Satellite Signal in the Solar Spectrum, Laboratoire d optique atmosph,rique, Universit, des sciences et techniques de lille, 59655 Villeneuve d Ascq C,dex, France, 343 pp.

Teillet, P.M., Santer, R. P. (1991). Terrain elevation and sensor altitude dependence in a semi-analytical atmospheric code. *Can. J. Remote Sensing*, 17:36-44.

White, H. P., Miller, J. R., Chen, J. M., Peddle, D. R., and McDermid, G. (1995). Seasonal change in mean understory reflectance for boreal sites: preliminary results. *Digest of 17th Canadian Symposium on Remote Sensing*. Saskatoon, Canada.

Name	Formula	Reference
NDVI	$\frac{(\boldsymbol{r}_n-\boldsymbol{r}_r)}{(\boldsymbol{r}_n+\boldsymbol{r}_r)}$	Rouse et al., 1974
SR	$\frac{\Gamma_n}{\Gamma_r}$	Jordan, 1969
MSR	$\frac{\frac{\boldsymbol{r}_n}{\boldsymbol{r}_r} - 1}{\sqrt{\frac{\boldsymbol{r}_n}{\boldsymbol{r}_r} + 1}}$	This paper
RDVI	$\frac{\boldsymbol{r}_n - \boldsymbol{r}_r}{\sqrt{\boldsymbol{r}_n + \boldsymbol{r}_r}}$	Roujean and Breon, 1995
WDVI	$\boldsymbol{r}_n - \boldsymbol{a} \cdot \boldsymbol{r}_r$, $\boldsymbol{a} = \frac{\boldsymbol{r}_{n, soil}}{\boldsymbol{r}_{r, soil}}$	Clevers,1989
SAVI	$\frac{(\boldsymbol{r}_n-\boldsymbol{r}_r)(1+L)}{(\boldsymbol{r}_n+\boldsymbol{r}_r+L)},\ L=0.5$	Huete, 1988
SAVI1	$\frac{(\boldsymbol{r}_n - \boldsymbol{r}_r)(1+L)}{(\boldsymbol{r}_n + \boldsymbol{r}_r + L)}, \ L = 1 - 2.12 \cdot NDVI \cdot WDVI$	Qi et al., 1994
SAVI2	$\boldsymbol{r}_{n}+0.5-\sqrt{\left(\boldsymbol{r}_{n}+0.5\right)^{2}-2\left(\boldsymbol{r}_{n}-\boldsymbol{r}_{r}\right)}$	
GEMI	$\frac{h(1-0.25 \cdot h) - (r_r - 0.125)}{(1-r_r)},$ $2(r_r^2 - r_r^2) + 1.5r_r + 0.5r_r$	Pinty & Verstraete, 1992
	$h = \frac{r_n + r_r + 05}{r_n + r_r + 05}$	
NLI	$\frac{\left(\boldsymbol{r}_{n}^{2}-\boldsymbol{r}_{r}\right)}{\left(\boldsymbol{r}_{n}^{2}+\boldsymbol{r}_{r}\right)}$	Goel & Qin, 1994

Table 1. Definition and sources of vegetation indices used in this study.

Fig. 1. The effect of a subpixel cloud: simultaneous increase in red and NIR reflectance in one pixel and decrease in another.

Fig. 2. Schematic illustration on the effect of measurement noise on vegetation indices. (a) SR versus SAVI; (b) MSR versus RDVI; and (c) SR versus NLI.

Fig. 3. Dependence of red (a) and NIR (b) reflectance on leaf area index, where the same numbers in (a) and (b) indicate the same stand.

Fig. 4. Late spring (IFC-1) LAI results versus SR, NDVI, WDVI, SAVI, RDVI and GEMI (top of the atmosphere) from Landsat-5 TM images.

Fig. 5. Late spring (IFC-1) FPAR results versus SR, NDVI, WDVI, SAVI, RDVI and GEMI (top of the atmosphere) from Landsat-5 TM images.

Fig. 6. The relationships between the new modified simple ratio (MSR) and LAI (a) and FPAR (b) in late spring (IFC-1) measured in the Southern Study Area (SSA) near Candle Lake, Saskatchewan, and in the Northern Study Area (NSA) near Thompson, Manitoba.

Fig. 7. The relationships between the new modified simple ratio (MSR) and LAI (a) and FPAR (b) in mid-summer (IFC-2) measured in the Southern Study Area (SSA) near Candle Lake, Saskatchewan, and in the Northern Study Area (NSA) near Thompson, Manitoba.